

1635

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# TRANSMITTAL FORM


(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/088,830
	<b>Filing Date</b>	August 26, 2002
	<b>First Named Inventor</b>	Crisanto Gutierrez-Armenta
	<b>Group Art Unit</b>	1635
	<b>Examiner Name</b>	Not yet assigned
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> BTG0004-100

## ENCLOSURES (check all that apply)

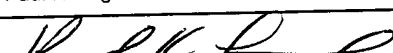
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Statement Under 37 CFR 3.73 (b) 2. Copy of Executed Assignment		
<table border="1"> <tr> <td>Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paul K. Legaard
Signature	
Date	January 13, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 13, 2003

Typed or printed name	Paul K. Legaard	Date	January 13, 2003
Signature			

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DOCKET NO.: BTG0004-100

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Inventors:** Gutierrez-Armenta and Ramirez-Parra

**Serial No.:** 10/088,830

**Examiner:** Not Yet Assigned

**Filing Date:** September 25, 2000

**Art Unit:** Not Yet Assigned

**Title:** Wheat DP Proteins And Uses Thereof

Assistant Commissioner for Patents  
Washington, DC 20231

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Sir:

**POWER OF ATTORNEY WITH REVOCATION AND  
CHANGE OF CORRESPONDENCE ADDRESS**

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application, and appoint

☒ Practitioners at Customer Number: 34141

PLACE CUSTOMER NUMBER BAR  
CODE LABEL HERE

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the Correspondence Address for the above-identified application to:

☒ Practitioners at Customer Number: 34141

PLACE CUSTOMER NUMBER BAR  
CODE LABEL HERE

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. (A statement under 37 CFR 3.73(b) is enclosed.)

Respectfully submitted,

CONSEJO SUPERIOR DE INVESTIGACIONES  
CIENTIFICAS

Date: X December 16, 2002

By: \_\_\_\_\_

Name: **Rolf Tarrach Siegel**  
Title: **President of CSIC**

**DOCKET NO.: BTGI-0025**

**PATENT  
Joint Inventors**

**(Pending Application;  
Serial No. Unknown)**

**ASSIGNMENT**

WHEREAS, we **Crisanto Gutierrez-Armenta and Elena Ramirez-Parra**, hereinafter referred to as the assignors, residing respectively at **Centro de Biologia Molecular "Severo Ochoa", Universidad Autonoma, Cantoblanco, E-28049 Madrid, Spain** and **Centro de Biologia Molecular "Severo Ochoa", Universidad Autonoma, Cantoblanco, E-28049 Madrid, Spain** are the joint inventors of certain inventions or improvements for which we have made application for Letters Patent to the United States, identified as Case No. **BTGI-0025**, entitled **WHEAT DP PROTEINS AND USES THEREOF**; and

WHEREAS, **Consejo Superior de Investigaciones Cientificas**, hereinafter referred to as the assignee, of **Calle Serrano, 117, E-28006 Madrid, Spain**, a corporation of **Spain**, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said

DOCKET NO.: BTGI-0025

**PATENT**  
**Joint Inventors**

assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

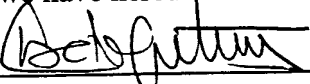
AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

AND this Assignment may be executed in multiple counterparts, each of which shall be deemed to be an original of this Assignment. Additionally, we hereby authorize our attorneys to collect the signature pages of each executed counterpart and to attach those signature pages to a single copy of this instrument, which single copy and attached signature pages together shall constitute an original of this Assignment.

We further authorize and direct our attorneys to insert below\* the serial number and filing date of said application now identified as Case No. **BTGI-0025** as soon as the same shall have been made known to them by the United States Patent Office.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

X June 17, 2002  
Date

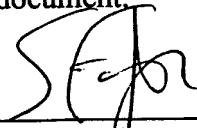
X  (L.S.)  
Crisanto Gutierrez-Armenta

**STATEMENT OF WITNESS**

SIGNED at X Madrid (City) \_\_\_\_\_ (Country)

X This 19 day of June, 2002.

I, Salvador Fortes Alca whose full residence address is Centro de Biología Molecular was personally present and did see 19 / Crisanto Gutierrez-Armenta execute the above assignment on the 19 day of June, 2002, and such assignor is personally known to me to be the person described in such document.

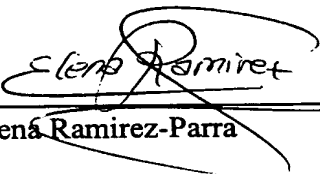
  
Signature of Witness

DOCKET NO.: BTGI-0025

PATENT  
Joint Inventors

June, 19 2002

Date

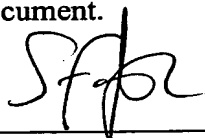
  
\_\_\_\_\_  
Elena Ramirez-Parra (L.S.)

STATEMENT OF WITNESS

SIGNED at Hedrick (City) \_\_\_\_\_ (Country)

This 19 day of June, 2002.

I, Salvador Fortes WSc whose full residence address  
is Centro de Biología Molecular  
was personally present and did see Elena Ramirez-Parra execute the above  
assignment on the 19 day of June, 2002, and such assignor is personally  
known to me to be the person described in such document.

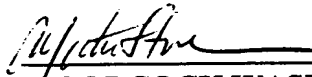
  
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Signature of Witness

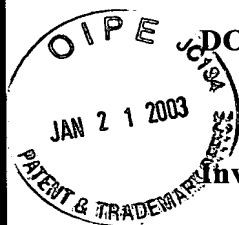
DOCKET NO.: BTGI-0025

**PATENT**  
**Joint Inventors**

\*The above assignment covers application Serial No.  
USSN 10/088,830, filed on herewith, year of 2002.

The above insertion made by me this 26th day of  
August, year of 2002.

of   
**WOODCOCK WASHBURN LLP**  
Name: Michael P. Straher  
Registration No. 38,325



DOCKET NO.: BTG0004-100

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Gutierrez-Armenta and Ramirez-Parra

Serial No.: 10/088,830

Examiner: Not Yet Assigned

Filing Date: September 25, 2000

Art Unit: Not Yet Assigned

Title: Wheat DP Proteins And Uses Thereof

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

STATEMENT UNDER 37 CFR 3.73(b)

Applicant or Patent Owner, Consejo Superior de Investigaciones Cientificas, a Public Research Center, of Application No. 10/088,830 filed internationally on September 25, 2000 and entitled **Wheat DP Proteins And Uses Thereof**, states that it is:

- ☒ the assignee of the entire right, title, and interest; or  
☐ an assignee of less than the entire right, title, and interest.

The extent (by, percentage) of its ownership interest is 100% in the patent application/patent identified above by virtue of either:

- ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel @@, Frame @@, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Rolf Tarrach Siegel;

;

Date X December 16, 2002

Signature

*Rolf Tarrach Siegel*

X

Title: President of CSIC